

PTO-1449	Attorney's Docket Number 126344-1	Serial Number 10/675,108
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <u>LIST OF ITEMS</u>	Name of Applicant Ghosh et al.	
(Use several sheets if necessary)	Filing Date 09/30/2003	Group 1714

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	NAME	Class	Subclass	Filing Date If Appropriate
KS ↑	2,465,319	03/22/1949	Whinfield et al.			
	3,047,539	07/31/1962	Pengilly			
	3,847,867	11/12/1974	Heath et al.			
	3,850,885	11/26/1974	Takekoshi et al.			
	3,852,113	12/03/1974	Yokota et al.			
	3,852,242	12/03/1974	White			
	3,855,178	12/17/1974	White et al.			
	3,972,902	08/03/1976	Heath et al.			
	3,983,093	09/28/1976	Williams, III et al.			
	4,005,053	01/25/1977	Briggs et al.			
	4,115,475	09/19/1978	Foy et al.			
	4,141,927	02/27/1979	White et al.			
	4,195,015	03/25/1980	Deleens et al.			
	4,230,838	10/28/1980	Foy et al.			
	4,331,786	05/25/1982	Foy et al.			
	4,332,920	06/01/1982	Foy et al.			
	4,443,591	04/17/1984	Schmidt et al.			
	4,455,410	06/19/1984	Giles, Jr. et al.			
	4,492,382	01/08/1985	Hounsel			
	4,559,164	12/17/1985	Kostelnik et al.			
	4,565,684	01/21/1986	Tibbetts et al.			
	4,572,813	02/25/1986	Arakawa			
KS	4,637,945	01/20/1987	Masui et al.			
	4,663,230	05/05/1987	Tennent			

EXAMINER

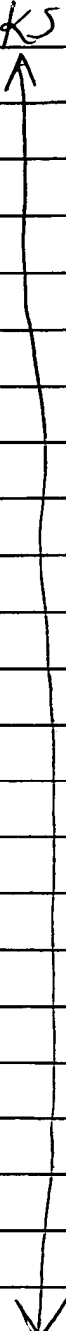


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U.S. PATENT DOCUMENTS						
Examiner Initial	Document Number	Date	NAME	Class	Subclass	Filing Date If Appropriate
KS	4,749,451	06/07/1988	Naarmann			
↑	4,816,289	03/28/1989	Komatsu et al.			
↑	4,839,441	06/13/1989	Cuzin et al.			
↑	4,864,014	09/05/1989	Cuzin et al.			
↑	4,871,613	10/03/1989	Akao			
↑	4,876,033	10/24/1989	Dziurla et al.			
↑	4,876,078	10/24/1989	Arakawa et al.			
↑	4,908,418	03/13/1990	Holub			
↑	4,908,419	03/13/1990	Holub et al.			
↑	4,966,729	10/30/1990	Carmona et al.			
↑	4,968,418	11/06/1990	Rohr			
↑	5,004,561	04/02/1991	Nomura et al.			
↑	5,024,818	06/18/1991	Tibbetts et al.			
↑	5,036,580	08/06/1991	Fox et al.			
↑	5,071,329	12/10/1991	Sano et al.			
↑	5,093,435	03/03/1992	Harris et al.			
↑	5,159,053	10/27/1992	Kolycheck et al.			
↑	5,165,909	11/24/1992	Tennent et al.			
↑	5,171,761	12/15/1992	Penco et al.			
↓	5,227,038	07/13/1993	Smalley et al.			
KS	5,256,335	10/26/1993	Byrd et al.			
EXAMINER <i>Kurt Sandoz</i>			DATE CONSIDERED 11/05			
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U.S. PATENT DOCUMENTS						
Examiner Initial	Document Number	Date	NAME	Class	Subclass	Filing Date If Appropriate
<i>KS</i> 	5,284,093	02/08/1994	Guaraldi et al.			
	5,300,203	04/05/1994	Smalley			
	5,300,553	04/05/1994	Yamanashi et al.			
	5,302,274	04/12/1994	Tomantschger et al.			
	5,312,866	05/17/1994	Tsutsumi et al.			
	5,354,607	10/11/1994	Swift et al.			
	5,385,970	01/31/1995	Gallucci et al.			
	5,439,987	08/08/1995	Scott et al.			
	5,445,327	08/29/1995	Creehan			
	5,484,837	01/16/1996	Kung et al.			
	5,514,748	05/07/1996	Isutsumi et al.			
	5,516,837	05/14/1996	Tsutsumi et al.			
	5,543,474	08/06/1996	Kawaki et al.			
	5,556,517	09/17/1996	Smalley			
	5,566,892	10/22/1996	Creehan			
	5,571,875	11/05/1996	Tsutsumi et al.			
	5,589,152	12/13/1996	Tennent et al.			
	5,591,312	01/07/1997	Smalley			
	5,591,382	01/07/1997	Nahass et al.			
	5,591,832	01/07/1997	Koshijima et al.			
	5,604,284	02/18/1997	Ueda et al.			
	5,641,455	06/24/1997	Rosenlund et al.			
	5,643,502	07/01/1997	Nahass et al.			
	5,643,990	07/01/1997	Uehara et al.			
<i>KS</i>	5,651,922	07/29/1997	Nahass et al.			
EXAMINER <i>Kurtis Sanders</i>			DATE CONSIDERED <i>11/05</i>			
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KS ↑	5,652,326	07/29/1997	Ueda et al.				
	5,654,357	08/05/1997	Menashi et al.				
	5,718,995	02/17/1998	Eichorst et al.				
	5,744,235	04/28/1998	Creehan				
	5,830,326	11/03/1998	Iijima				
	5,840,807	11/24/1998	Frey et al.				
	5,863,466	01/26/1999	Mor				
	5,866,647	02/02/1999	Massey et al.				
	5,872,177	02/16/1999	Whitehouse				
	5,886,098	03/23/1999	Ueda et al.				
	5,919,429	07/06/1999	Tanaka et al.				
	6,063,874	05/16/2000	Jin et al.				
	6,103,413	08/15/2000	Hinton et al.				
	6,111,031	08/29/2000	Puyenbroek et al.				
	6,149,840	11/21/2000	Ardakani et al.				
	6,149,848	11/21/2000	Makise et al.				
	6,150,473	11/21/2000	Brown et al.				
	6,156,256	12/05/2000	Kennel				
	US 6,183,714 B1	02/06/2000	Smalley et al.				
	US 6,187,823 B1	02/13/2001	Haddon et al.				
	US 6,248,262 B1	06/19/2001	Kubotera et al.				
	US 6,252,011 B1	06/26/2001	Scott				
	US 6,265,466 B1	07/24/2001	Glatkowski et al.				
	US 6,277,952 B1	08/21/2001	Dhawan et al.				
	US 6,284,832 B1	09/04/2001	Foulger et al.				
	KS	US 6,299,812 B1	10/09/2001	Newman et al.			

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Examiner Initial	Document Number	Date	NAME	Class	Subclass	Filing Date if Appropriate
KS	US 2002/0031465 A1	03/14/2002	Saito			
	US 2002/0035170 A1	03/21/2002	Glatkowski et al.			
	US 2002/0039675 A1	04/04/2002	Braun et al.			
	US 2002/0046872 A1	04/25/2002	Smalley et al.			
	US 2002/0048632 A1	04/25/2002	Smalley et al.			
	US 2002/0053257 A1	05/09/2002	Brice et al.			
	US 2002/0068170 A1	06/06/2002	Smalley et al.			
	US 2002/0084410 A1	07/04/2002	Colbert et al.			
	US 2002/0085968 A1	07/04/2002	Smalley et al.			
	US 2002/0086124 A1	07/04/2002	Margrave et al.			
	US 2002/0088938 A1	07/11/2002	Colbert et al.			
	US 2002/0090331 A1	07/11/2002	Smalley et al.			
	US 2002/0092613 A1	07/18/2002	Kuper			
	US 2002/0092983 A1	07/18/2002	Colbert et al.			
	US 2002/0092984 A1	07/18/2002	Colbert et al.			
	US 2002/0094311 A1	07/18/2002	Smalley et al.			
	US 2002/0096634 A1	07/25/2002	Colbert et al.			
	US 2002/0098135 A1	07/25/2002	Smalley et al.			
	US 2002/0102196 A1	08/01/2002	Smalley et al.			
	US 2002/0109086 A1	08/15/2002	Colbert et al.			
US 2002/0109087 A1	08/15/2002	Colbert et al.				
US 2002/0110513 A1	08/15/2002	Margrave et al.				
US 2002/0127169 A1	09/12/2002	Smalley et al.				
US 2002/0136681 A1	09/26/2002	Smalley et al.				
KS	US 2002/0136683 A1	09/26/2002	Smalley et al.			
	US 2002/0150524 A1	10/17/2002	Smalley et al.			
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Examiner Initial	Document Number	Date	NAME	Class	Subclass	Filing Date if Appropriate
KS	US 2002/0155333 A1	10/24/2002	Fitts et al.			
↑	US 2002/0159943 A1	10/31/2002	Smalley et al.			
	US 2002/0159944 A1	10/31/2002	Smalley et al.			
	US 2002/0161101 A1	10/31/2002	Carroll et al.			
	US 2002/0179564 A1	12/05/2002	Geobegan et al.			
	US 2002/0172789 A1	11/21/2002	Watson et al.			
	US 2002/0176650 A1	11/28/2002	Zhao et al.			
	US 2002/0180077 A1	12/05/2002	Glatkowski et al.			
	US 2002/0185770 A1	12/12/2002	McKague			
	US 2003/0001141 A1	01/02/2003	Sun et al.			
	US 2003/0004058 A1	01/02/2003	Li et al.			
	US 2003/0008123 A1	01/09/2003	Glatkowski et al.			
	US 2003/0010910 A1	01/16/2003	Colbert et al.			
	US 2003/0026754 A1	02/06/2003	Clarke et al.			
	US 2003/0044608 A1	03/06/2003	Yoshizawa et al.			
	US 2003/0053801 A1	03/20/2003	Cornell			
	US 2003/0066960 A1	04/10/2003	Colbert et al.			
	US 2003/0075682 A1	04/24/2003	Colbert et al.			
	US 2003/0089890 A1	05/15/2003	Niu et al.			
	US 2003/0089893 A1	05/15/2003	Niu et al.			
	US 2003/0100653 A1	05/29/2003	Chacko			
	US 2003/0108477 A1	06/12/2003	Keller et al.			
	US 2003/0111333 A1	06/19/2003	Montgomery et al.			
	US 2003/0122111 A1	07/03/2003	Glatkowski et al.			
↓	US 2003/0124717 A1	07/03/2003	Awano et al.			
KS	US 2003/0132376 A1	07/17/2003	Bonnell et al.			
EXAMINER <i>Kirellan Sand</i>			DATE CONSIDERED 11/05			
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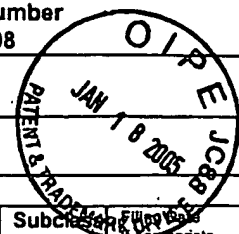
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FOREIGN PATENT DOCUMENTS						
Examiner Initial	Document Number	Date	COUNTRY	Class	Subclass	TRANSLATION YES NO
KS ↑	WO 97/09272	13.03.97	PCT			NO
	WO 97/32646	12.09.97	PCT			NO
	WO 98/05920	12.02.98	PCT			NO
	WO 98/39250	11.09.98	PCT			NO
	WO 00/17101	30.03.00	PCT			NO
	WO 00/17102	30.03.00	PCT			NO
	WO 00/26138	11.05.00	PCT			NO
	WO 00/52710	08.09.00	PCT			NO
	WO 01/17101 A1	08.03.01	PCT			NO
	WO 01/30694 A1	03.05.01	PCT			NO
	WO 01/38219 A1	31.05.01	PCT			NO
	WO 01/49599 A2	12.07.01	PCT			NO
	WO 01/57284 A1	09.08.01	PCT			NO
	WO 01/75902 A1	11.10.01	PCT			NO
	WO 01/89013 A2	22.11.01	PCT			NO
	WO 01/92381 A1	06.12.01	PCT			NO
	WO 01/99146 A2	27.12.01	PCT			NO
	WO 02/16257 A2	28.02.02	PCT			NO
	WO 02/20402 A1	14.03.02	PCT			NO
	KS	WO 02/39051 A2	16.05.02	PCT		
WO 02/42204 A2		30.05.02	PCT			NO
	WO 02/055769 A1	18.07.02	PCT			NO
	WO 02/060812 A2	08.08.02	PCT			NO
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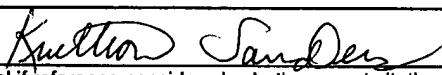
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FOREIGN PATENT DOCUMENTS							
Examiner Initial	Document Number	Date	COUNTRY	Class	Subclass	TRANSLATION YES NO	
<i>KS</i> ↑	WO 02/060813 A2	08.08.02	PCT			NO	
	WO 02/064868 A1	22.08.02	PCT			NO	
	WO 02/064869 A1	22.08.02	PCT			NO	
	WO 02/068170 A1	06.09.02	PCT			NO	
	WO 02/076430 A1	03.10.02	PCT			NO	
	WO 02/076724 A1	03.10.02	PCT			NO	
	WO 02/076888 A1	03.10.02	PCT			NO	
	WO 02/076903 A2	03.10.02	PCT			NO	
	WO 02/079082 A2	10.10.02	PCT			NO	
	WO 02/080195 A1	10.10.02	PCT			NO	
	WO 02/081372 A2	17.10.02	PCT			NO	
	WO 02/088025 A1	07.11.02	PCT			NO	
	WO 02/090330 A1	14.11.02	PCT			NO	
	WO 02/095097 A1	28.11.02	PCT			NO	
	WO 02/100931 A1	19.12.02	PCT			NO	
	WO 02/100154 A2	19.12.02	PCT			NO	
	WO 02/100775 A2	19.12.02	PCT			NO	
	WO 02/44566 A1	06.06.02	PCT			NO	
	<i>KS</i>	WO 03/004741 A1	16.01.03	PCT			NO
		WO 03/005450 A2	16.01.03	PCT			NO
WO 03/007314 A1		23.01.03	PCT			NO	
WO 03/011755 A1		13.02.03	PCT			NO	
	WO 03/012722 A1	13.02.03	PCT			NO	
EXAMINER <i>Kuella Sander</i>			DATE CONSIDERED <i>11/05</i>				
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Examiner Initial	Document Number	Date	COUNTRY	Class	Subclass	TRANSLATION YES NO
KS ↑	WO 03/013199 A2	13.02.03	PCT			NO
	WO 03/020638 A1	13.03.03	PCT			NO
	WO 03/040026 A2	15.05.03	PCT			NO
	WO 03/049219 A1	12.06.03	PCT			NO
	WO 03/070821 A2	28.08.03	PCT			NO
	WO 03/072679 A1	04.09.03	PCT			NO
	WO 03/076703 A1	18.09.03	PCT			NO
	WO 03/078317 A1	25.09.03	PCT			NO
	WO 03/078315 A2	25.09.03	PCT			NO
	WO 03/085049 A1	16.10.03	PCT			NO
	WO 03/085681 A1	16.10.03	PCT			NO
	WO 03/092763 A1	13.11.03	PCT			NO
	EP 0 198 558 B1	07.04.93	European			NO
	EP 0 365 168 B1	19.05.99	European			NO
	EP 0 822 224 A2	04.02.98	European			NO
	EP 0 854 839 B1	24.04.02	European			NO
	EP 1 209 123 A2	29.05.02	European			NO
	EP 1 336 673 A1	20.08.03	European			NO
KS	EP 1 073 090 A2	31.01.01	European			NO
	EP 1 359 169 A2	05.11.03	European			NO

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		Filing Date 09/30/2003	Group 1714
OTHER INFORMATION (including author, title, date, pertinent)			
KS	Japanese Abstract for JP 2002273741 A Publication date 25.09.02		
KS	Japanese Abstract for JP 2003096313 A Publication date 03.04.03		
KS	Japanese Abstract for JP 2001011344 A Publication date 16.01.01		
KS	Japanese Abstract for JP 2002313147 A Publication date 25.10.02		
KS	Japanese Abstract for JP 2003146632 A Publication date 21.05.03		
KS	"Conductive Plastics for Medical Applications" <i>Medical Device and Diagnostic Industry Magazine</i> , January 1999 http://www.devicelink.com/mddi/archive/99/01/009.html		
KS	Bernie Miller "Tiny Graphite 'tubes' Create High-Efficiency Conductive Plastics" <i>Conductive Plastics</i> , Plastics World Magazine article http://www.fibrils.com/conplas1.htm 01/18/2001		
KS	Hyperion Catalysis International "Unique Slough Resistant SR [™] Series ESD Thermoplastic Product Line Offers Reduced Particle Contamination for Demanding Electronic Applications" http://www.fibrils.com/esd.htm 01/18/2001		
	M.S.P. Shaffer et al. "Dispersion of Carbon Nanotubes: Polymeric Analogies" Proc. Polymer 1999, Department of Materials Science, Cambridge University. Pp 24-27		
	Collins et al. "Controlling the Electronic Properties of Carbon Nanotube Bundles" Abstract only IBM Research Division, T.J. Watson Research Center, Yorktown Heights, NY 10598, USA, http://www.nanotube.org/abstracts/collinsp.html		
	Tesner et al. "Formation of Carbon Fibers from Acetylene" Carbon, 1970, Vol. 8, pp. 435-442, Pergamon Pres. Great Britain		
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KS ↑	5,284,903	02/08/1994	Minnick			
	US 6,528,572 B1	03/04/2003	Patel et al.			
	US 6,689,835 B2	02/10/2004	Amarasekera et al.			
	US 6,734,262 B2	05/11/2004	Patel			
	US 6,761,870 B1	07/13/2004	Smalley et al.			
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	US 2002/0004028 A1	01/10/2002	Margrave et al.			
	US 2002/0091619 A1	07/11/2002	Yang			
	US 2002/0102193 A1	08/01/2002	Smalley et al.			
	US 2002/0102194 A1	08/01/2002	Smalley et al.			
	US 2002/0102201 A1	08/01/2002	Colbert et al.			
	US 2002/0102203 A1	08/01/2002	Smalley et al.			
	US 2002/0127162 A1	09/12/2002	Smalley et al.			
	US 2003/0012722 A1	01/16/2003	Liu			
	US 2003/0083421 A1	05/01/2003	Kumar et al.			
	US 2003/0106998 A1	06/12/2003	Colbert et al.			
	US 2003/0170166 A1	09/11/2003	Smalley et al.			
	US 2003/0181568 A1	09/25/2003	Amarasekera et al.			
	US 2003/0216502 A1	11/20/2003	McElrath et al.			
	US 2004/0009346 A1	01/15/2004	Jang et al.			
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Examiner Initial	Document Number	Date	COUNTRY	Class	Subclass	TRANSLATION YES NO
KS	WO 97/15935	01.05.97	World			NO
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KS	Cotton and Wilkinson, <i>Advanced Inorganic Chemistry</i> , Publisher: John Wiley & Sons 6th edition, Publication date March 1999, page 76					
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PTO-1449

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LIST OF ITEMS

Attorney's Docket Number
 126344-1

Serial Number
 10/675,108

Name of Applicant
 Soumyadeb Ghosh et al.

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↑	WO 03/024798 A1	27.03.2003	PCT			NO
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11/05

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PTO-1449 INFORMATION DISCLOSURE STATEMENT BY APPLICANT LIST OF ITEMS (Use several sheets if necessary)			Attorney's Docket Number 126344-1		Serial Number 10/675,108	
<div style="position: absolute; left: -100px; top: -100px; border: 1px solid black; border-radius: 50%; padding: 5px; text-align: center;"> INFORMATION AUG 05 2005 JCS </div>			Name of Applicant Soumyadeb Ghosh, et al.			
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